



PATENT  
454311-2200.1

Sector  
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

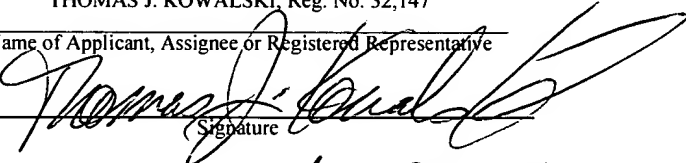
Applicants : HANES ET AL.  
Serial No. : 09/507,242  
Filed : FEBRUARY 18, 2000  
For : **CaESSI: A CANDIDA ALBICANS GENE, METHODS  
FOR MAKING AND USING, AND TARGETING IT  
AND ITS EXPRESSION PRODUCTS FOR  
ANTIFUNGAL APPLICATIONS**

745 Fifth Avenue  
New York, New York 10151

I hereby certify that this correspondence is being deposited with  
the United States Postal Service as first class mail in an envelope  
addressed to: Assistant Commissioner For Patents  
Washington, DC 20231, on May 19, 2000

THOMAS J. KOWALSKI, Reg. No. 32,147

Name of Applicant, Assignee or Registered Representative

  
(Signature)

19 May 2000  
Date of Signature

COMMUNICATION

Assistant Commissioner For Patents  
Washington, D.C. 20231

Sir:

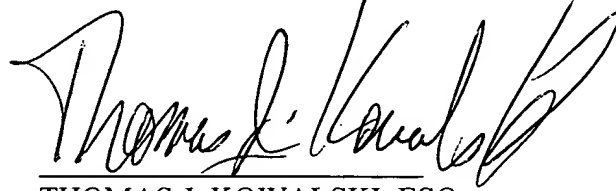
In response to the April 26, 2000 Notice to File Missing Parts, enclosed is  
a copy of the Communication, executed Declaration, Recordation Form Cover Sheet and  
Assignment, Check No. 9411 in the amount of \$130.00 to cover the required surcharge  
fee and, Check No. 9412 in the amount of \$1316.00 to cover the \$690.00 basic filing fee,  
plus \$78.00 for one independent claim in excess of three; plus \$260.00 for the multiple

dependent claim fee and \$288.00 for the fee for 16 claims in excess of 20 claims (via multiple dependency) and Check No. 9417 in the amount of \$40.00 to cover the filing of the Assignment, which were first class mailed to the PTO on March 14, 2000.

Please charge any additional fees required or credit any overpayment to  
Deposit Account No. 50-0320.

Respectfully submitted,  
FROMMER LAWRENCE & HAUG LLP

By:



THOMAS J. KOWALSKI, ESQ.  
Reg. No. 32,147  
(212) 588-0800



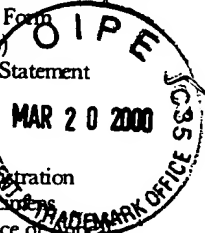
Serial No. 09/507,242 File No. 45431/-2000.1 By TSK  
Title In the Matter of the Application of Hanes et al.

The following due \_\_\_\_\_ in the U.S. Patent Office, was received in the Patent Office

- ☐ Affidavit
- ☐ Amendment
- ☐ Preliminary Amendment
- ☐ Amendment After Final Rejection
- ☐ Request for Extension of Time
- ☐ Provisional Patent Application
- ☐ Application for Patent, including  
\_\_\_\_ Pages Specification \_\_\_\_ Claims
- ☐ Declaration ☐ Oath ☐ Power
- ☐ Request for Filing Continuation or Divisional  
Application \_\_\_\_ sheets, in duplicate
- ☐ File Wrapper Continuation Patent Application  
\_\_\_\_ sheets, in duplicate
- ☐ PCT Request \_\_\_\_ sheets, including
- ☐ Transmittal Letter to the US/RO
- ☒ Assignment ☒ Recordation Cover Sheet

- ☐ Express Mail Mailing Certificate  
(separate sheet) 9411
- ☒ Check No. 9442, 9447 for \$ 40.00
- ☐ Deposit Account Order Form
- ☐ Drawing \_\_\_\_ Sheet(s)
- ☐ Information Disclosure Statement
- ☐ PTO Form 1449
- ☐ Issue Fee Transmittal
- ☐ Brief ☐ Letter
- ☐ Application for TM Registration  
Including \_\_\_\_ Specimens
- ☐ Status Request ☐ Notice of Appeal
- ☐ Petition ☐ Response
- ☐ Priority Document
- ☐ Small Entity Declaration

\$1830.00  
\$1,316.00



☒ Communication  
mailed 1st class on 3/14/00

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## FROMMER LAWRENCE &amp; HAUG LLP

745 FIFTH AVENUE  
NEW YORK, NY 10151

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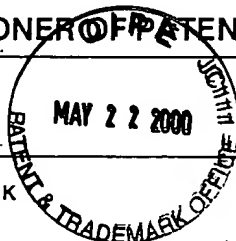
March 14, 2000

1-1-210 428

PAY TO THE  
ORDER OF

COMMISSIONER OF PATENTS AND TRADEMARKS

\$ 1,316.00



THE SUM OF \$1,316.00

DOLLARS

THE BANK OF NEW YORK  
575 MADISON AVENUE  
NEW YORK, NY 10022

FROMMER LAWRENCE &amp; HAUG LLP

CHARGE ANY ADD'L FEES TO DEPOSIT ACCT. #50-0320

*Barbara 3 Manning*

⑈009412⑈ ⑆021000018⑆ ⑈6301147455⑈

## DETACH AND RETAIN THIS STATEMENT

FROMMER LAWRENCE &amp; HAUG LLP

THE ATTACHED CHECK IS IN PAYMENT OF ITEMS DESCRIBED BELOW.  
IF NOT CORRECT PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED:APPLICANT Hanes et alSERIAL NO. 09/507,242FL & H DOCKET NO. 454311-2200.1TITLE CaESSI: A Canida Albicans Gene, Methods for Making and Using,  
and Targeting it and its Expression Products for Antifungal Applications

- ☐ APPEAL BRIEF  
☐ APPEAL FEE  
☐ CERTIFICATE OF CORRECTION  
☐ DISCLAIMER  
☐ EXTENSION OF TIME  
☐ FEE FOR ADDED CLAIMS  
☒ FILING FEE  
☐ ISSUE FEE  
☐ MAINTENANCE FEE  
☐ MISSING PARTS  
☐ NOTICE OF OPPOSITION

- ☐ PETITION FEE  
☐ PETITION FOR CANCELLATION  
☐ PETITION TO REVIVE  
☐ RECORDING FEE  
☐ REQUEST FOR ORAL HEARING  
☐ SEC. 8 TM DECLARATION FEE  
☐ STATEMENT OF USE  
☐ TM RENEWAL

☒ PLEASE CHARGE ANY ADDITIONAL FEES OR CREDIT OVER PAYMENT TO DEPOSIT ACCOUNT NO. 50-0320

## CHARGE TO

NAME OF CLIENT OR ACCOUNT

FILE NO.

ATTORNEY

AMOUNT

Hanes

454311-2200.1

TJK

~~1130.00~~

1316 —

FROMMER LAWRENCE & HAUG LLP

745 FIFTH AVENUE  
NEW YORK, NY 10151

009411

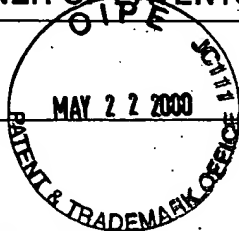
March 14, 20 00

1-1-210 428

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\$ 130.00



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NEW YORK, NY 10022

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*Barbara 3 Manning*

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FROMMER LAWRENCE & HAUG LLP

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APPLICANT Hanes et al.

SERIAL NO. 09/507,242

FL & H DOCKET NO. 454311-2200.1

TITLE CaESSI: A Canida Albicans Gene, Methods for Making and Using, and Targeting it and its Expression Products for Antifungal Applications

- ☐ APPEAL BRIEF
- ☐ APPEAL FEE
- ☐ CERTIFICATE OF CORRECTION
- ☐ DISCLAIMER
- ☐ EXTENSION OF TIME
- ☐ FEE FOR ADDED CLAIMS
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- ☐ STATEMENT OF USE
- ☐ TM RENEWAL
- ☒ Claims

☒ PLEASE CHARGE ANY ADDITIONAL FEES OR CREDIT OVER PAYMENT TO DEPOSIT ACCOUNT NO. 50-0320

CHARGE TO

NAME OF CLIENT OR ACCOUNT

FILE NO.

ATTORNEY

AMOUNT

Hanes

454311-2200.1

TJK

130 00

FROMMER LAWRENCE &amp; HAUG LLP

745 FIFTH AVENUE  
NEW YORK, NY 10022

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ORDER OF

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\$

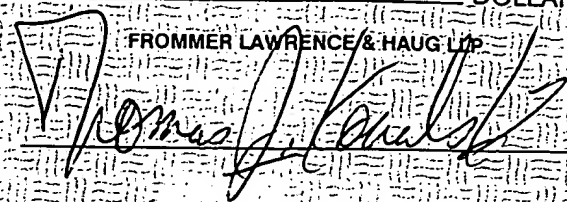
4600

THE SUM OF \$400.00

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575 MADISON AVENUE  
NEW YORK, NY 10022

FROMMER LAWRENCE &amp; HAUG LLP



CHARGE ANY ADD'L FEES TO DEPOSIT ACCT. #50-0320

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FROMMER LAWRENCE &amp; HAUG LLP

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IF NOT CORRECT PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED.

APPLICANT

SERIAL NO.

TITLE

FL &amp; H DOCKET NO.

Hans ET AL  
09/507,242  
CAESST: A CANDIDA ALBICANS GENE, METHODS FOR  
437311-2200.1

- ☐ APPEAL BRIEF  
☐ APPEAL FEE  
☐ CERTIFICATE OF CORRECTION  
☐ DISCLAIMER  
☐ EXTENSION OF TIME  
☐ FEE FOR ADDED CLAIMS  
☐ FILING FEE  
☐ ISSUE FEE  
☐ MAINTENANCE FEE  
☐ MISSING PARTS  
☐ NOTICE OF OPPOSITION

- ☐ PETITION FEE  
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☐ STATEMENT OF USE  
☐ TM RENEWAL

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CHARGE TO

NAME OF CLIENT OR ACCOUNT

FILE NO.

ATTORNEY

AMOUNT

Merical

437311-  
2200.1

T. Karalshi \$40.00

ACCOUNTING COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : HANES ET AL.  
Serial No. : 09/507,242  
Filed : FEBRUARY 18, 2000



: **CaESSI: A CANDIDA ALBICANS GENE, METHODS  
FOR MAKING AND USING, AND TARGETING IT  
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745 Fifth Avenue  
New York, New York 10151

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the United States Postal Service as first class mail in an envelope  
addressed to: Assistant Commissioner For Patents  
Washington, DC 20231, on *14 March 2000*

THOMAS J. KOWALSKI, Reg. No. 32,147

\_\_\_\_\_  
Name of Applicant, Assignee or Registered Representative

*Thomas J. Kowalski*  
\_\_\_\_\_  
Signature

*14 March 2000*  
\_\_\_\_\_  
Date of Signature

COMMUNICATION

Assistant Commissioner For Patents  
Washington, D.C. 20231

Sir:

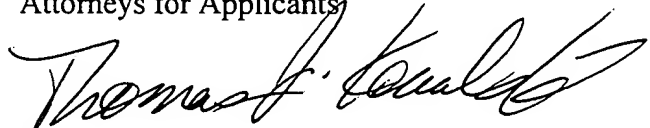
Attached is the original executed inventors' declaration. Also enclosed is Check  
No. 9411 for \$130.00 to cover the required surcharge fee and Check No. 9412 for  
\$1316.00 to cover the \$690.00 basic filing fee, plus \$78.00 for one independent claim in  
excess of three; plus \$260.00 for the multiple dependent claim fee and \$288.00 for the fee  
for 16 claims in excess of 20 claims (via multiple dependency).

This Communication is being filed without a copy of the Notice to Filing Missing Parts because that document has not yet been received by applicants' counsel. If such a Notice is mailed by the PTO before this Communication is processed, it is requested that this Communication be considered as responsive to the Notice to Filing Missing Parts.

Please charge any additional fees required or credit any overpayment fees, to  
Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

A handwritten signature in black ink, appearing to read "Thomas J. Kowalski", written over a horizontal line.

Thomas J. Kowalski  
Reg. No. 32,147  
(212) 588-0800